

## Luis Aponte

Accomplished IT Compliance and Information Security professional with 30 years of experience leading end-to-end IT SOX programs, audits, and risk assessments in complex regulatory environments. Expertise includes control design, testing, remediation, and reporting aligned with SOX, PCI, ISO, FedRAMP, and HIPAA. Skilled in partnering with engineering, DevOps, and IT teams to implement scalable controls, manage walkthroughs and evidence, and guide remediation and SDLC compliance. Experienced in developing governance policies, maintaining SOX documentation, and serving as a primary liaison to external auditors to strengthen security frameworks and control effectiveness.

## Credentials & Expertise

### Expertise

- ◆ IT Compliance & Risk Management
- ◆ SOX Readiness
- ◆ IT Security Audits
- ◆ Risk Assessment
- ◆ Policy Development
- ◆ Regulatory Compliance
- ◆ SOC 1 Review
- ◆ ITGC & ITAC Testing
- ◆ Incident Response
- ◆ Security Awareness Programs
- ◆ SAP Security
- ◆ Vulnerability Assessment

### Achievements

- ◆ Led IT SOX and compliance programs, strengthening controls and improving audit readiness.
- ◆ Implemented scalable IT security and risk controls aligned with SOX, ISO 27001, PCI, HIPAA, and FedRAMP.
- ◆ Directed security audits, ITGC/ITAC testing, and remediation, serving as liaison to external auditors and leadership.
- ◆ Advanced GRC capabilities through automation, policy development, and enterprise risk management improvements.

### Industries

- ◆ Software & Technology
- ◆ Financial Services / Insurance
- ◆ Consumer Products / Retail
- ◆ Manufacturing / Industrial
- ◆ Professional Services / Consulting

### Associations & Certifications

- ◆ ISO 27001:2005 Lead Auditor (Cert. ID: 7343399-70979)
- ◆ ITIL Foundation V3 (Cert. ID: 02299776-01-BNQQ)
- ◆ Instructor, IT System Audit – Universidad Católica Andrés Bello, Venezuela (2011–2013)
- ◆ Instructor, IT Security Audit – Universidad Metropolitana, Venezuela (2001)
- ◆ Instructor, IT System Audit & Data Center Administration – Universidad Alejandro de Humboldt, Venezuela (2000–2004)

### Education

- ◆ **Computer Science Engineer**, Universidad Centroccidental 'Lisandro Alvarado', Venezuela
- ◆ **Diploma in Information Security in Technology and Telecommunications**, Caracas, Venezuela

### Languages

- ◆ English
- ◆ Spanish

For over 15 years, BGA has proudly filled the gap that often exists between accounting firms striving to maintain independence and their clients. We understand the compliance requirements and needs of our public and private clients. Our unwavering commitment to excellence has enabled us to assist thousands of domestic and international companies with everything from complex M&A transactions to IPOs and technical accounting for financial reporting.

## Luis Aponte

### Experience



58-Consulting LLC   ◆   Manager, IT Compliance & Advisory Services — 2024 to Present

- ◆ Conduct IT compliance audits, risk assessments, and ITGC testing.
- ◆ Manage comprehensive third-party security risk assessments on behalf of customers, evaluating vendor security controls and regulatory compliance. Leverage GRC platforms and automation tools to streamline assessment processes, improve efficiency, and ensure consistent reporting.



SOAPProjects Inc.   ◆   IT Compliance & Advisory Services — 2019 to 2024

#### Manager, IT Compliance & Advisory Services, 2022 to 2024

- ◆ Led the end-to-end IT SOX program, including planning, scoping, risk assessment, control design, testing, remediation, and reporting.
- ◆ Worked closely with engineering, DevOps, and IT teams to design and implement effective, scalable IT controls.
- ◆ Directed walkthroughs, coordinate evidence collection, and manage remediation efforts to ensure timely closure of audit gaps.
- ◆ Established and maintained SOX documentation, including risk/control matrices, narratives, process flows, and testing procedures.
- ◆ Monitored and reported IT control effectiveness and remediation progress to stakeholders, ensuring compliance with state, federal, and industry regulations.
- ◆ Conducted IT risk assessments and audits leveraging COSO and other recognized frameworks; identify control gaps, assess risks, and recommend targeted improvements.
- ◆ Provided guidance and training to control owners on SOX requirements, IT security, and SDLC controls during system implementations.
- ◆ Stayed current on SOX requirements, cybersecurity regulations, and IT risk management best practices.
- ◆ Acted as primary liaison for external auditors on all IT SOX-related matters.

#### Senior Associate, IT Compliance & Advisory Services, 2022 to 2024

- ◆ Conducted IT compliance audits, risk assessments, and IT general controls (ITGC) testing to evaluate control effectiveness and identify improvement opportunities.
- ◆ Reviewed and analyzed SOC 1 reports, mapping controls to relevant frameworks and assessing the accuracy and completeness of key reports.
- ◆ Collaborated with clients to perform third-party security assessments and maintain up-to-date security knowledge bases to support ongoing risk management efforts.

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### Experience

#### Additional Roles

- ◆ Venezuela – Consultant, Compliance & Advisory Services (2017–2019)
- ◆ Aponte Jiménez y Asociados, Venezuela – Information Security Consultant (2010–2017)
- ◆ Seguros Caracas of Liberty Mutual – IT Security Officer (2006–2010)
- ◆ Cargill of Venezuela – IT Security Lead (2003–2006)
- ◆ SICCA – SAP Security Consultant (2002–2003)
- ◆ Cervecería Polar Los Cortijos – IT Senior Analyst (2001–2002)
- ◆ PwC Venezuela – IT Audit Manager (1996–2001)

#### Contact Us

##### Blythe Global Advisors, LLC

Blythe Global Advisors is headquartered in Southern California with a broad geographic reach across the United States via the BlytheTeam®

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