



BLYTHE GLOBAL ADVISORS

FILLING THE GAP IN ACCOUNTING AND FINANCIAL EXPERTISE®

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BLYTHE TEAM®

AN ALLIANCE OF
FORMER BIG FOUR
PARTNERS/
EXECUTIVES,
CURRENT INDUSTRY
ENTREPRENEURS,
AND FORMER
CORPORATE
FINANCE AND
ACCOUNTING
EXECUTIVES/
PROFESSIONALS.

EXPERT
ADVICE

SEASONED
LEADERSHIP

REAL-WORLD
EXPERIENCE

UNBIASED
PERSPECTIVE

INDUSTRY
EXPERTISE

TURN-KEY
EXECUTION

KNOWLEDGE
TRANSFER

Oscar Rodriguez – Career Overview

Profile

Results-driven accounting professional, offering proven expertise in business operations in support of corporate objectives. Possesses a track record in driving, leading, and streamlining change in financial processes and implementing timesaving and cost-saving measures with a keen eye toward cost control. A diligent professional with a highly analytical approach to assessing, researching, and reviewing analytics, trends, risk, and market conditions. Skilled in conducting comparison pricing and providing accurate, detailed financial reporting spanning cross-functional business units. A decisive leader with demonstrated success in collaborative, deadline-driven audits that provide accurate data from multiple business sectors.

Expertise

- ◆ U.S. GAAP and SEC
- ◆ Business and Audit Risk Assessment
- ◆ Regulatory Compliance
- ◆ Project Management
- ◆ Financial/Accounting Due Diligence
- ◆ Forecasting and Budgeting
- ◆ Accounting and Tax Issues

Industry Expertise

- ◆ Financial Services
- ◆ Oil & Gas
- ◆ Residential Retail Mortgage

Achievements

- ◆ Managed filing of five Broker-Dealer financials by the 60-day SEC deadline for 2018, 2019 and 2020. Historically, the first-time financials were filed with no extension under the current organizational structure.
- ◆ Implemented Oracle Financial Consolidation and Close Cloud Service 40 days ahead of schedule and significantly under budget. Implemented Oracle ePRCS.
- ◆ Spearheaded the testing and integration of Hyperion reports used to compare actual numbers vs. budget and actual vs. prior period statements at divisional and regional level. Interfaced directly with system developer and team and successfully built 40 reports.

Highlights

- ◆ Cetera Financial Group, Accounting Senior Manager
- ◆ Breitburn Energy Partners, Finance Manager of Financial Reporting
- ◆ Prospect Mortgage, LLC, Senior Analyst

Detailed resume follows

Oscar Rodriguez



Cetera Financial Group **Accounting Senior Manager,** **Financial Reporting – 2018 to Present**

- ◆ Review/prepare five Broker-Dealer annual audited financials required for SEC Form X-17A-5.
- ◆ Review/prepare annual and quarterly financial statements for Aretec Group Consolidated.
- ◆ Assist Controller in preparation of purchase price allocations related to acquisitions.
- ◆ Review/prepare carve-out financials for entities under common control, which impact financial reporting entities.
- ◆ Manage the company's SEC Rule 144A filing by preparing all GAAP related items and working closely with Deloitte to facilitate the signing of the external auditor's comfort letter.
- ◆ Assist in the preparation of the company's push down accounting per ASC 805, Business Combinations.
- ◆ Prepare quarterly stock compensation analysis for stock options based on Black Scholes model.
- ◆ Prepare annual impairment analysis for intangible assets based on EBITDA valuation model.
- ◆ Discuss and research accounting disclosure requirements and prepare an implementation plan, while researching competitor filings.
- ◆ Manage annual audit by providing additional analysis to external auditors.
- ◆ Develop a process to gather cash flow statement inputs that improved efficiency and external audit-friendly support, improving the timing of audits.
- ◆ Collaborate with technical accounting and share services for the financial reporting requirements related to business combinations and asset acquisitions.
- ◆ Train, review, and monitor senior analyst's deliverables and provide formal and informal feedback.
- ◆ Participate in merger & acquisition special projects and ad hoc requests as directed by Controller.



Breitburn Energy Partners **Finance Manager of Financial Reporting – 2014 to 2018**

- ◆ Provided leadership and guidance to executive management regarding vital projects.
- ◆ Prepared Breitburn Energy Partners (BBEP) and Pacific Coast Oil Trust (ROYT) 10-Qs' and 10-K and other documents for filing with the SEC.
- ◆ Validated financial data included in 8-K filings, collaborating with financial corporate accounting department and investor relations departments.
- ◆ Researched disclosure requirements and implementation plan, while researching competitor SEC filings to track industry standards.
- ◆ Reviewed and prepared monthly, quarterly, and annual financial statements including balance sheet, P&L, cash flow statement, adjustments in EBITDA statement, and executive summaries providing detailed explanations for respective periods.
- ◆ Processed quarterly and annual regulatory reporting required by FERC.

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Breitburn Energy Partners **Finance Manager of Financial Reporting – 2014 to 2018**

- ◆ Reviewed and prepared XBRL filings and ensured company's tagging updated per required taxonomy.
- ◆ Prepared monthly executive dashboard package including monthly and year-to-date chart data and actual vs. budget variance explanations for each period.
- ◆ Created quarterly board materials reflecting actual, budget, and forecast data points and provided detailed variance explanations for quarterly actual vs. budget financial statements.
- ◆ Assisted in completion of annual audit by providing PBC items and additional explanations to external auditors.
- ◆ Prepared annual audited financial statements and required disclosures for Pacific Coast Energy Co., conducted debt covenant compliance calculation on a quarterly basis, and updated calculation based on amended agreements.
- ◆ Trained, reviewed, and monitored senior analyst's deliverables and provided formal and informal feedback.
- ◆ Participated in merger & acquisition special projects and ad hoc requests as directed by assistant controller.
- ◆ Gained an understanding of ASC 852 to properly report financial statements consistent with companies implementing fresh start accounting.



Prospect Mortgage, LLC **Senior Analyst – 2011 to 2014**

- ◆ Provided income statement analysis that compares income statement to budget and forecast to management on a monthly, quarterly, and annual basis. Provided analysis explaining variances for internal and audit use as needed.
- ◆ Provided supporting schedules related to SEC rule 144 A and S-1 filing for registering of securities.
- ◆ Reconciled Loans Held for Sale, and Loans Held for Investment, including amortization accounts, and provided a roll forward schedule for internal and external audit use.
- ◆ Reconciled several Balance Sheet accounts and recorded adjusting entries as needed, as well as various income statement accounts. Collaborated with various departments and vendors to estimate the need for additional accruals during the month end close.
- ◆ Reviewed all fixed asset procedures including additions, disposals, transfers, depreciation, and ensure internal labor costs were accounted under GAAP.
- ◆ Prepared actual vs. budget financial data points for executive leadership team on monthly, quarterly, and annual basis.
- ◆ Recorded month end general accounting close entries and maintained internal documentation for procedures performed to support quarterly and year end audits, including entries to the legal reserve based on recommendations of the General Counsel and consolidating entries using the Equity method of accounting.

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Prospect Mortgage, LLC Senior Analyst – 2011 to 2014

- ◆ Managed the development of tax depreciation module on JD Edwards for federal and state depreciation and responsible for fixed asset depreciation using accelerated tax methods.
- ◆ Reconciled tax accounts and accruals for taxes payable for Prospect Mortgage, Prospect Holding, and Prospect Mortgage Insurance.
- ◆ Developed daily and month-end reconciliation reports to identify and correct variances in transaction recording for warehouse facilities' cash and note payable accounts.
- ◆ Gained a full understanding of HUD-1 line details and related G/L accounts through reconciliation of funding entries that helped clear various discrepancies.

Education

- ◆ **Credits to Qualify for CPA Exam**, UCLA Extension, University of California, Los Angeles
- ◆ **BS Business Administration, Emphasis in Finance**, California State University, Long Beach

Technical Proficiency

- ◆ Enterprise Solutions and Financial Software: Blackline, Enertia, Oracle Hyperion, Oracle JD Edwards, Oracle FCCS, Oracle ERP, Workiva, Active Disclosure
- ◆ Microsoft Office Suite